

Update on the Quality Indicator Survey (QIS)

Sayuri Kelly, MS, OTR
University of Colorado Denver
Division of Health Care Policy and Research
Sayuri.Kelly@ucdenver.edu
(303-724-2490)

September 6, 2011

Overview

- National implementation of QIS
- Quality Improvement and the Desk Audit Reports (DARs)
- Federal Oversight for QIS
- Implementation plan for QIS revisit and stand-alone complaints
- Research studies analyzing QIS
- Questions

National Implementation of QIS

- Priority order of QIS national implementation
 - Six implementation bands
 - Band three began implementation in 2011
 - Band six expected to begin in late 2015
- Implementation timeframes
 - Dependent on multiple factors
 - Each state should be fully implemented within 3 years
- S&C Letter 09-50: national QIS implementation
<http://www.cms.gov/SurveyCertificationGenInfo/PMSR/list.asp>

Status of National QIS Implementation

- 11 states fully implemented:
 - AZ, CT, FL, KS, LA, ME, MD, MN, NM, VT, WV
- 6 states at least 75% implemented:
 - GA, OH, UT, DE, NC, WA
- 2 states 50% implemented:
 - CO and NE
- 6 states at varying degrees of implementation (less than 50%):
 - DC, TN, IN, OR, NY, HI

Quality Improvement and the DARs

- Desk Audit Report for the State Agency (DAR-SA)
 - Management report derived from QIS data that is designed to be used by State Agencies to evaluate QIS survey results and to guide quality assurance activities
 - 32 data items
 - State Agency identifies the cause for any outlier and provides follow-up as needed

Quality Improvement and the DARs (cont.)

- Desk Audit Report for the Regional Office (DAR-RO)
 - Aggregate report sent to the RO on a quarterly basis
 - Includes all QIS states in the region
- State-Specific Desk Audit Report for the Regional Office (State Specific DAR-RO)
 - Aggregate report sent quarterly to the RO and SA
 - Includes data for only that SA
 - RO uses for QI call and onsite oversight activities

Federal Oversight for QIS

- Federal Oversight of the Quality Indicator Survey (FOQIS)
 - Targeted, data driven approach using the DAR
 - More structured, objective process
 - Parallel investigations in Stage 1 and 2
 - On-going dialogue and RO education and guidance
 - Scoring
 - Implementation in October 2011
- Comparative
 - Matched Stage 1 and Stage 2 samples
 - Tentatively available in Summer 2012

Implementation of the QIS Revisit and Stand-alone Complaints

- QIS Revisit
 - Development completed
 - Tentatively available in Summer 2012
- Stand-alone Complaint
 - Recently began research and preliminary work on the stand-alone complaint process – fact finding and research phase
 - Development work and software requirements begins September 2011

Research Studies Analyzing QIS

- RTI working on an evaluation
 - QIS Implementation
- GAO review
 - QIS and its implementation
 - Scheduled for release in November 2011
- Internal process improvement (e.g., CU contract: "QIS Implementation and Analysis")
 - Provides ongoing evaluation and refinement of QIS
 - Includes DAR processing and analysis, etc.

Questions

- Any questions?

My contact information:

Sayuri Kelly

Sayuri.Kelly@ucdenver.edu

(303) 724-2490

- For CMS QIS forms and Information:

<https://www.qtso.com/qisdownload.html>
